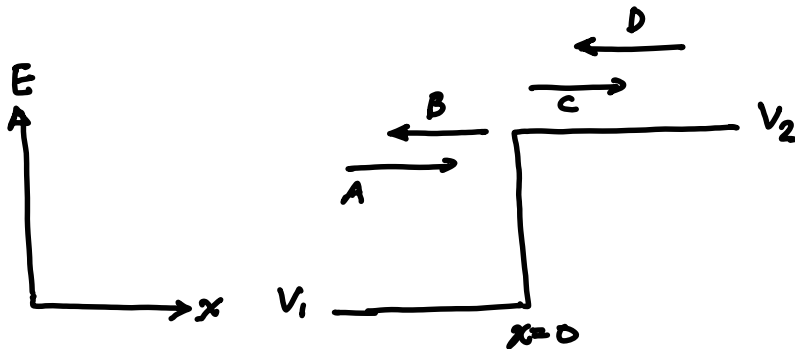


## Transmission & Reflection



Consider a particle with energy  $E$  approaching the potential barrier.

$$-\frac{\hbar^2}{2m} \psi'' + V\psi = E\psi \Rightarrow$$

$$\left\{ \begin{array}{l} \psi_1'' = -\frac{2m(E-V_1)}{\hbar^2} \psi_1 \rightarrow \psi_1 = Ae^{ik_1x} + Be^{-ik_1x} \quad x < x_0 \\ \psi_2'' = -\frac{2m(E-V_2)}{\hbar^2} \psi_2 \rightarrow \psi_2 = Ce^{ik_2x} + De^{-ik_2x} \quad x \geq x_0 \end{array} \right.$$

Apply BC's  $\psi$  &  $\psi'$  are continuous at  $x=0$

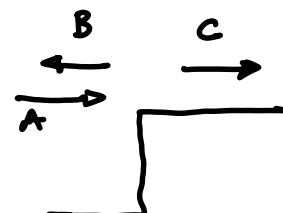
$$\left\{ \begin{array}{l} \psi_1(0) = \psi_2(0) \rightarrow A + B = C + D \\ \psi_1'(0) = \psi_2'(0) \rightarrow k_1A - k_1B = k_2C - k_2D \rightarrow A - B = \frac{k_2}{k_1}C - \frac{k_2}{k_1}D \end{array} \right.$$

Suppose we know that:

- ① the particle is approaching from the left:  $|A|^2 = 1$   
 ② There is no potential change at  $x > 0$ :  $|D|^2 = 0$   $\Rightarrow$

$$\left\{ \begin{array}{l} 1 + B = C \\ 1 - B = \frac{k_2}{k_1} C \end{array} \right. \Rightarrow \left\{ \begin{array}{l} B = \frac{1 - k_2/k_1}{1 + k_2/k_1} \\ C = \frac{2}{1 + k_2/k_1} \end{array} \right.$$

$$P = \hbar k = m v \rightarrow v = \frac{\hbar k}{m} \Rightarrow$$

$$\left\{ \begin{array}{l} |B|^2 = \left( \frac{1 - k_2/k_1}{1 + k_2/k_1} \right)^2 = \left( \frac{v_1 - v_2}{v_1 + v_2} \right)^2 \\ |C|^2 = \frac{4}{(1 + k_2/k_1)^2} = \frac{4}{(1 + v_2/v_1)^2} \end{array} \right.$$


Let's see if  $|C|^2 >$  or  $< 1$ :

$$\left\{ \begin{array}{l} k_1^2 = \frac{2m(E - V_1)}{\hbar^2} \\ k_2^2 = \frac{2m(E - V_2)}{\hbar^2} \end{array} \right. \quad \text{Since } V_2 > V_1 \Rightarrow k_2 < k_1 \Rightarrow v_2 < v_1$$

$$\Rightarrow \left( 1 + \frac{v_2}{v_1} \right)^2 < 2^2 \Rightarrow |C|^2 > 1$$

Compare this with  $|A|^2 = 1$ : this means that the particle is more likely to be at  $x > 0$  than at  $x < 0$  even though  $V_1 < V_2$ . So doesn't the particle have the preference to go to minimum energy?

Since  $v_1 > v_2$ , the particle spends more time at region 2 than 1. So it is more likely to find it in region 2.

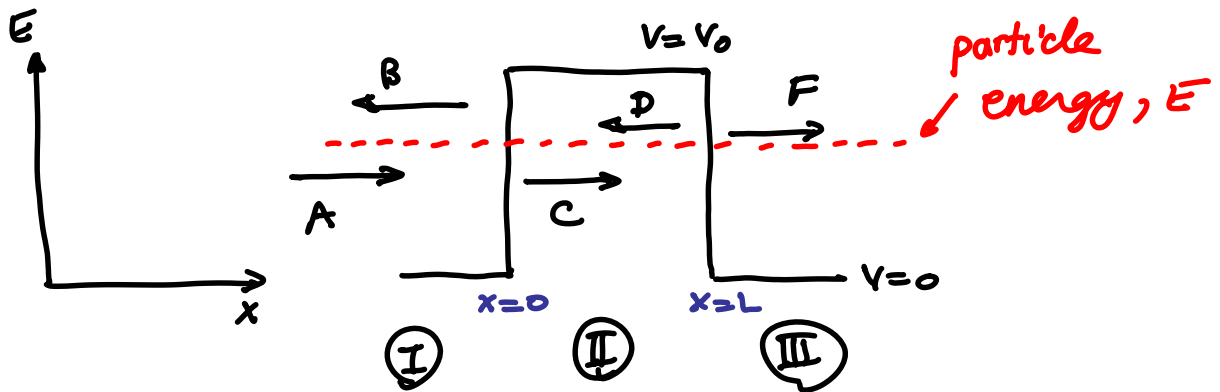
Be careful:

It is more accurate to speak about current density when we have moving particle like in the previous problem.

So we should in fact compare  $J_1$  &  $J_2$  and not  $|A|^2$  &  $|C|^2$ .

# Tunneling

Tunneling is fundamentally quantum mechanical.  
 Consider a particle with  $E < V_0$  approaching a potential barrier of height  $V_0$ :



In region (I):  $\psi_1(x) = A e^{ikx} + B e^{-ikx}$

(II):  $\psi_2(x) = C e^{Kx} + D e^{-Kx}$

(III):  $\psi_3(x) = F e^{ikx}$

Of course as usual:  $E = \frac{\hbar^2 k^2}{2m}$  Kinetic energy

$$V_0 - E = \frac{\hbar^2 K^2}{2m}$$

B.C.

(1)  $\psi_1 = \psi_2$  at  $x=0 \rightarrow A + B = C + D$

(2)  $\psi_1' = \psi_2'$  at  $x=0 \rightarrow ikA - ikB = CK - DK$

(3)  $\psi_2 = \psi_3$  at  $x=L \rightarrow C e^{KL} + D e^{-KL} = F e^{ikL}$

(4)  $\psi_2' = \psi_3'$  at  $x=L \rightarrow CK e^{KL} - DK e^{-KL} = ik F e^{ikL}$

we're looking for the tunneling transmission probability:

$\left| \frac{F}{A} \right|^2$  - So let's eliminate the other constants:

$$(1) + \frac{(2)}{ik} \rightarrow 2A = \left(1 - \frac{ik}{k}\right)C + \left(1 + \frac{ik}{k}\right)D \quad (5)$$

$$(3) + \frac{(4)}{k} \rightarrow 2Ce^{KL} = \left(1 + \frac{ik}{k}\right)Fe^{iKL} \quad (6)$$

$$(3) - \frac{(4)}{k} \rightarrow 2De^{-KL} = \left(1 - \frac{ik}{k}\right)Fe^{iKL} \quad (7)$$

Substitute (6) & (7) in (5)  $\Rightarrow$

$$2A = \left(1 - \frac{ik}{k}\right)\left(1 + \frac{ik}{k}\right)\frac{F}{2}e^{iKL - KL} + \left(1 - \frac{ik}{k}\right)\left(1 - \frac{ik}{k}\right)\frac{F}{2}e^{iKL + KL}$$

Simplify to get:

$$\frac{F}{A} = \frac{i4k/k}{\left[-\left(1 - \frac{ik}{k}\right)^2 e^{KL} + \left(1 + \frac{ik}{k}\right)^2 e^{-KL}\right]} e^{iKL}$$

$$\left| \frac{F}{A} \right|^2 = \left(\frac{F}{A}\right)\left(\frac{F}{A}\right)^*$$

$$\left(\frac{i4k}{k}\right)\left(\frac{-i4k}{k}\right)$$

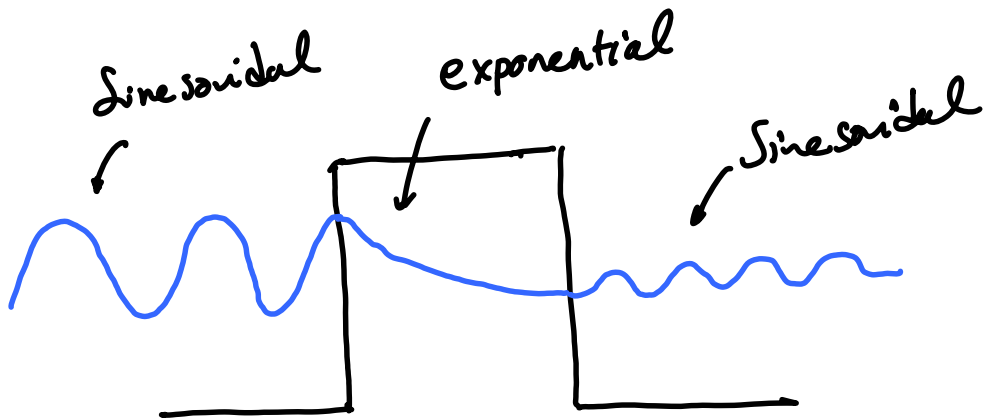
$$= \frac{\left(\frac{i4k}{k}\right)\left(\frac{-i4k}{k}\right)}{\left[-\left(1 - \frac{ik}{k}\right)^2 e^{KL} + \left(1 + \frac{ik}{k}\right)^2 e^{-KL}\right]\left[-\left(1 + \frac{ik}{k}\right)^2 e^{KL} + \left(1 - \frac{ik}{k}\right)^2 e^{-KL}\right]}$$

Do the math and get: (See p. 147 book)

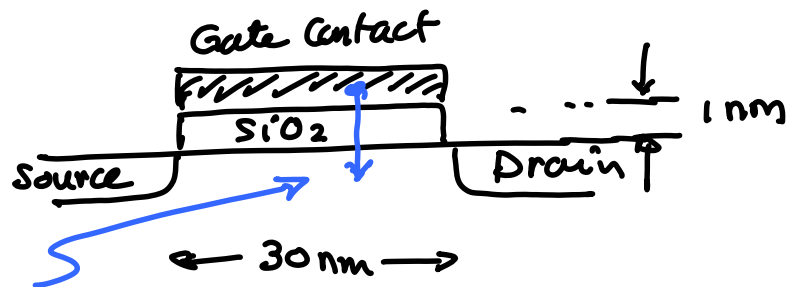
$$\left| \frac{F}{A} \right|^2 = \frac{1}{1 + \frac{k^2 + K^2}{2kK} \sinh^2(KL)}$$

Tunneling transmission probability

(note:  $k$  &  $K$  are related to  $E$  and  $V_0$ )



Electron tunneling is limiting us to make smaller CMOS transistors:



electron tunnels through the gate oxide!